### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: 10/560,399

Confirmation No. 2742

Applicant

: Hans-Peter BORUFKA, et al.

Filed TC/A.U. Examiner December 12, 2005Not Yet AssignedNot Yet Assigned

Docket No.

: 011235.57084US

Customer No. : 23911

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUBMISSION OF MISSING REQUIREMENTS IN APPLICATION

Sir:

Attached hereto please find:

- 1. Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US).
- 2. Executed Declaration and Power of Attorney.

Bv

Please credit any overpayments or charge any additional fees to the Deposit Account of Crowell & Moring LLP, Account Number 05-1323 (Docket No. 011235.57084US).

Respectfully submitted,

**CROWELL & MORING LLP** 

Dated: July 31, 2006

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Attachments Intellectual Property Group P.O. Box 14300

Washington, D.C. 20044-4300

#### CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)

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Blanca E. Munoz

July 31, 2006

Date



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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/560,399

Hans-Peter Borufka

011235.57084US

INTERNATIONAL APPLICATION NO.

PCT/DE04/01137

I.A. FILING DATE

PRIORITY DATE

06/03/2004

06/12/2003

CONFIRMATION NO. 2742 371 FORMALITIES LETTER

\*OC000000019511547\*

23911 CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

Date Mailed: 07/07/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/12/2005
- Copy of the International Search Report filed on 12/12/2005
- Copy of IPE Report filed on 12/12/2005
- Preliminary Amendments filed on 12/12/2005
- Information Disclosure Statements filed on 12/12/2005
- U.S. Basic National Fees filed on 12/12/2005
- Substitute Specification filed on 12/12/2005
- Priority Documents filed on 12/12/2005
- Specification filed on 12/12/2005
- Claims filed on 12/12/2005
- Abstracts filed on 12/12/2005
- Drawings filed on 12/12/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filling a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBE	R NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,399		PCT/DE04/01137	011235.57084US

FORM PCT/DO/EO/905 (371 Formalities Notice)

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# JAP15 Rec'd PCT/PTO 02 AUG 2006

PTO/SB/21 (09-04)
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order the raperwork reduction Act of 1995, no persons are required to respond to a confection of information unless it displays a valid own control number.				
TDANGMITTAL	Application Number	10/560,399		
TRANSMITTAL FORM	Filing Date	December 12, 2005		
PORIVI	First Named Inventor	Hans-Peter BORUFKA, et al.		
	Art Unit	Not Yet Assigned		
(to be used for all correspondence after initial filing)	Examiner Name	Not Yet Assigned		
Total Number of Pages in This Submission	Attorney Docket Number	011235.57084US		

ENCLOSURES (check all that apply)						
Fee Transmittal Form	m (in duplicate)	Drawing(s)	After Allowance Communication to Group			
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Documents Response to Missing Requirements  Response to Missing Parts under 37 CFR 1.52 or		Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on CD	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  1. Return Postcard			
		Remarks				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name						
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Printed Name ROBERT L. GRABAREK, JR.						
Date	July 31, 2006		Reg. No. 40,625			
CERTIFICATE OF TRANSMISSION/MAILING						
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Signature Blanca E. Muno						
Typed or printed name	Blanca E. Mui		Date July 31, 2006			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/560,399 Application Number FEE TRANSMITTA Filing Date December 12, 2005 For FY 2006 First Named Inventor Hans-Peter BORUFKA, et al. **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 Art Unit Not Yet Assigned TOTAL AMOUNT OF PAYMENT Attorney Docket No. 011235.57084US METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): ✓ Deposit Account Deposit Account Number: <u>05-1323</u> Deposit Account Name: Crowell & Moring LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) ✓ Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES Small Entity **Small Entity Small Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 50 130 65 Plant 200 100 300 160 150 80 Reissue 300 150 500 600 250 300 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims** Extra Claims Fee Paid (\$) Multiple Dependent Claims - 20 or HP = 50.00 Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. **Extra Claims** Indep. Claims Fee (\$) Fee Paid (\$) - 3 or HP = 200.00 HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets** Extra Sheets Fee (\$) Fee Paid (\$) (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): SUBMITTED BY Registration No. 40,625 Signature Telephone 949-263-8400 (Attorney/Agent) Date July 31, 2006 Name (Print/Type)

This collection of information is required by 37 CFR 1.136. The Mormation is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.